## NUMERICAL COMPUTATION OF LARGE AMPLITUDE

INTERNAL SOLITARY WAVES

Tony F.C. Chan<sup>1</sup>

Computer Science Department #198

Yale University

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## 1. Introduction

Large amplitude internal waves which maintain their shape over long distance of propagation (hence the name 'solitary') are often observed in nature and have recently been suggested to occur in the Jovian atmosphere in the form of the Great Red Spots [18]. These waves can also be generated in the laboratory rather easily [17], which suggests that they can be excited under many circumstances in natural systems. Most of the existing theories [14], [20] ), with a few exceptions( [2]), are limited to the ([5], [6]. case of small amplitude and long wavelengths, due to analytical difficulties. The small amplitude assumption allows the solution to be expressed in an asymptotic expansion in terms of the amplitude and the nonlinear governing equations to be solved by perturbation techniques. The long wavelength assumption reduces the governing two dimensional partial differential equation into ordinary differential equations. In applications, results from these weakly nonlinear theories are often extrapolated into the large amplitude and short wavelength domains. While it is remarkable that such extrapolations agree quite favorably with experimental data even for moderately large wave amplitudes and short wavelengths, notable discrepancies exist both quantitatively and qualitatively in many situations.

In this paper, we tackle numerically the original nonlinear partial differential equation directly without making either the small amplitude

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assumption or the long wavelength assumption. Large amplitude waves with short wavelengths have been computed together with the small amplitude and long wavelength ones. The nonlinear equation is linearized by Newton's method and the resulting linear equations are discretized by standard finite difference methods. In the deep fluid cases, the waves only occupy a small portion of the computational domain, and use of uniform mesh spacing would be wasteful. Our solution is to use an analytical transformation of the domain so that a uniform mesh in the transformed domain gives adequate resolution without too much waste. The governing equation contains a physical parameter the wave speed, and we are interested in computing many different waves : corresponding to different wave speeds. To make the computational process more efficient, we employ continuation techniques (with respect to the wave speed) whereby known solution at a given wave speed can provide extremely good initial guess for Newton's method at a nearby wave speed. Moreover, in a shallow fluid case we found nonunique solutions for a given wave speed. In order to provide a systematic way to trace some of these nonunique solutions, an arclength continuation technique [12] is employed.

In Section 2, the governing partial differential equations are discussed, and in Section 3 , a description of Newton's method and the continuation techniques is given. Details of numerical implementation are described briefly in Section 4, and numerical results and comparison with experimental data are

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presented in Section 5. The conclusion is in Section 6.

This paper is a companion to the paper by Tung, Chan and Kubota [24]. There we focus on more mathematical issues such as proving existence of large amplitude solutions and relating the dependence of wave amplitude on wave speed to the density profile of the fluid medium. For a more thorough discussion of the fluid dynamical aspects of the waves computed in the current paper and other background information, the reader is referred to the companion paper. The present paper focuses on the numerical techniques used to computed the large amplitude waves. It is hoped that the numerical techniques are of more general interest and can find applications in other areas.

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2. Governing Equations

Consider a stably stratified fluid, the ocean for example, which is perturbed and set into motion (Figure 2-1). The interaction of the density variations and the force of gravity can give rise to many interesting wave phenomena. Internal solitary waves are a well-known example [9, 10]. These are waves that propagate inside the fluid, rather than on the surface, and can travel long distances without changing their shape or losing their energy.

The equation that governs the steady state propagation and shape of these internal waves can be derived from the equations of motion of an incompressible, inviscid, and non-diffusive fluid of variable density [16, 24], which, in terms of dimensionless variables for the case of uniform mean flow, is:

$$\Delta u + \lambda u F'(y+u)/(1-\sigma F(y+u)) = \sigma F'(y+u)(u_x^2 + u_y^2 + 2 u_y)/(1-\sigma F(y+u))/2, \qquad (2.1)$$

with boundary conditions:

u = 0 on the surface and bottom of fluid,

 $u \rightarrow 0$  as  $|x| \rightarrow \infty$ ,

where

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Figure 2-1: Internal Solitary Wave Environment

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- u is the perturbation stream function,

- $\lambda$  is a nondimensional quantity inversely proportional to the square of the wave speed,
- $-\rho$  the density is given by:

$$\rho = \rho_0 (1 - \sigma F(y+u)), \qquad (2.2)$$

where F is a given nonlinear function that specifies the density stratification profile; an often used profile is the 'tanh'-profile: F(y) = tanh(y),

-  $\sigma$  can be interpreted as the relative density change across the entire stratified layer.

Equation (2.1) is seldom solved in this complicated and fully nonlinear form. Often the relative density stratification is very small (e.g. in the ocean), so that  $\sigma \ll 1$  and the so-called Boussinesq approximation is made. Equation (2.1) is then reduced to the simpler form, to which we shall refer to as Long's Equation [16]:

$$\Delta u + \lambda F'(y+u) u = 0. \qquad (2.3)$$

Equation (2.3) is the equation we will be dealing with in this paper. For the purpose of describing the numerical methods in the next section, it is convenient to write Equation (2.3) formally as

$$G(u, \lambda) = 0 \qquad (2.4)$$

to show the dependence of the perturbation stream function u on the parameter  $\lambda$ . Equation (2.4) is in the form of a nonlinear elliptic eigenvalue problem [4, 12].

3. Newton's Method and Continuation Techniques

## 3.1 Newton's Method

Solving a nonlinear eigenvalue problem  $G(u,\lambda) = 0$  can take on at least two different meanings:

1. Solve for  $u(\lambda)$  given a specific value for  $\lambda$ .

2. Determine the dependence of u on  $\lambda$ .

In this paper, we adopt the second meaning. Clearly, if we have a good procedure for solving Problem (2), we can also use it to solve Problem (1) effectively.

The usual solution procedure for nonlinear problems involves linearization and application of some version of Newton's method.

#### <u>Newton's Method</u> :

Given a value of  $\lambda$  and an initial guess  $u^0$  for the solution  $u(\lambda)$ , we perform the following steps repeatedly until satisfied :

$$G_{n}^{i} \delta u^{i} = -G(u^{i}, \lambda) \qquad (3.1)$$

$$u^{i+1} = u^i + \delta u^i. \tag{3.2}$$

In the above, subscripts denote partial derivatives and so  $G_u$  denotes the Jacobian of the operator G (with respect to u). This procedure will generally

converge quadratically when it does converge. However, as is well known, in many instances it will fail to converge when the initial guess is not 'close' to the true solution.

## 3.2 Natural Continuation

A plausible procedure for overcoming this convergence difficulty and also for determining the dependence of u on  $\lambda$  is to start at a known solution  $(u_0, \lambda_0)$  on the solution curve and use it as initial guess for a Newton-type iteration to find the solution for a neighboring point on the solution curve with  $\lambda$  close to  $\lambda_0$  and repeating the procedure. We can improve on this further by computing the 'slope'  $u_{\lambda}$  at a known solution and use it to get a better initial guess for the next value of  $\lambda$  in a predictor-corrector fashion. We shall call this the Natural Continuation Procedure because it corresponds to parametrizing the solution curve by  $\lambda$ , the naturally occurring parameter.

#### Natural Continuation Procedure:

Given a known solution  $(u_0,\lambda_0)$ , we want to compute the solution at a nearby value of  $\lambda$ .

1. First compute the 'slope'  $u_{\lambda}$  at  $(u_0, \lambda_0)$  from

$$G_{u} u_{\lambda} = -G_{\lambda}. \qquad (3.3)$$

2. Perform an Euler predictor step:

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$$\mathbf{u}^{0} = \mathbf{u}_{0} + \mathbf{u}_{\lambda} (\lambda - \lambda_{0}). \qquad (3.4)$$

3. Use  $u^0$  as initial guess in Newton's method for  $G(u,\lambda)=0$ :

$$G_{n}^{i} (u^{i+1} - u^{i}) = -G(u^{i}, \lambda)$$
 (3.5)

until convergence.

4. Use  $(u(\lambda),\lambda)$  as the new  $(u_0,\lambda_0)$  and go back to Step 1.

Note that very often the computation of the slope  $u_{\lambda}$  does not cause much computational overhead because we usually have the factorization of the Jacobian  $G_u$  computed already in the Newton step. Using the slope in a predictor-corrector fashion will often allow us to take a much bigger step in  $\lambda$  and thus reduce the overall cost of determining the dependence of u on  $\lambda$ .

Unfortunately, this procedure needs some modification in order to handle general nonlinear systems because of the possibility of existence of nonunique solutions. The nonuniqueness usually manifests itself in the form of existence of 'singular' points where the Jacobian  $G_u$  is singular (see Figure 3-1). Points such as point A in Figure 3-1 are called limit points (or turning points) and points such as point B are called bifurcation points. These singular points are further characterized by the conditions that  $G_{\lambda} \notin$ Range $(G_u)$  at a limit point and that  $G_{\lambda} \in$  Range $(G_u)$  at a bifurcation point [12].



Figure 3-1: A Typical Bifurcation Diagram

The difficulties that the Natural Continuation Procedure will encounter are three-fold. First of all, since  $G_u$  is singular at these points, Newton's method will in general be only linearly convergent, making it much more costly to compute the solution. Moreover, when tracing a solution branch near a limit point, there may not exist a solution for a given value of  $\lambda$  (see Figure 3-2) and hence Equation (3.5) will fail. Lastly, we need some mechanism for switching branches at a bifurcation point.

## 3.3 Arclength Continuation

In the pseudo-arclength continuation approach [3, 12], this difficulty is overcome by not imposing a value for  $\lambda$  at which the solution  $u(\lambda)$  is sought. Instead, we parametrize the solution branches using an arclength parameter s, and specify how far along the current solution branch we want to march.

To be more specific, we let s be the arclength parameter, and treat u(s) and  $\lambda(s)$  as functions of s. We can compute the 'slopes'  $u(s_0)$ ,  $\dot{\lambda}(s_0)$  (where the dots denote differentiation with respect to s) of a known solution at s=s<sub>0</sub> from the following two equations:

$$G_{u}\dot{u}_{0} + \dot{\lambda}_{0}G_{\lambda} = 0, \qquad (3.6)$$

$$||\dot{u}_{0}||^{2} + |\dot{\lambda}_{0}|^{2} - 1 = 0. \qquad (3.7)$$

Equation (3.6) is obtained from differentiating 
$$G(u,\lambda) = 0$$
 with respect to s

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Figure 3-2: Failure of Natural Continuation Near Limit Points

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and Equation (3.7) imposes the arclength condition. We can theoretically 'follow' the solution curve by integrating the initial value problem defined However, this process may become unstable --- the by u(s) and  $\lambda(s)$ . approximate solution to the initial value problem may deviate more and more from the true solution curve. One way to stabilize this procedure is to use  $\dot{u}(s)$  and  $\dot{\lambda}(s)$  in a predictor step to provide an initial guess for a Newton-type method to bring it back onto the true solution curve (Figure 3-3).

In the pseudo-arclength continuation procedure, we advance from  $s_0$  to s along the solution branch by requiring the new solution u(s) and  $\lambda(s)$  to satisfy the following equations:

$$N(u(s),\lambda(s)) = \dot{u}_{0}^{T}(u(s) - u(s_{0})) + \dot{\lambda}_{0}(\lambda(s) - \lambda(s_{0})) - (s - s_{0}) = 0$$
  
and  
$$G(u(s),\lambda(s)) = 0.$$
(3.9)

(3.9)

Equation (3.8) is the linearization of Equation (3.7) and essentially forces the new solution to lie on a hyperplane perpendicular to the tangent vector of the solution curve at  $s_0$  and at a distance  $(s-s_0)$  from it. Equation (3.9) requires u(s) and  $\lambda(s)$  to lie on the true solution curve (Figure 3-3). We now solve the coupled system (3.8) and (3.9) for u(s) and  $\lambda(s)$ , given the step size  $(s-s_0)$  (efficient strategies for choosing the step size are discussed in [21]). We can use Newton's method, in which case we have to solve the



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Figure 3-3: Pseudo-Arclength Continuation





following linear system at each iteration:

It can be shown that at limit points, where  $G_u$  is singular and  $G_\lambda \not\in$ Range( $G_u$ ), the linear system in Equation (3.10) is nonsingular (see [12]) and therefore Newton's method for the coupled system (3.8) and (3.9) is well-defined. Hence limit points present no problem and even quadratic convergence is achievable.

At bifurcation points, where  $G_u$  is singular and  $G_\lambda \in \text{Range}(G_u)$ , things are more complicated. In the simplest case of only one branch bifurcating from the main branch (simple bifurcation), an additional higher order condition (see for example Crandall and Rabinowitz [8]) involving  $G_{uu}$ ,  $G_{u\lambda}$  and  $G_{\lambda\lambda}$  has to be satisfied. It can be shown [12] that this condition, together with Equations (3.6) and (3.7) and the left and right null vectors of  $G_u$ , enable two solutions for  $(\dot{u}_0, \dot{\lambda}_0)$  to be computed at a simple bifurcation point, with one solution corresponding to each branch. Using the appropriate pair of  $(\dot{u}_0, \dot{\lambda}_0)$  in Equation (3.8) allows branch switching.

In order to solve the linear system in Equation (3.10) by direct methods,

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several approaches are possible. One way is to perform Gaussian elimination on the inflated matrix A, with some form of pivoting to insure stability. But this approach completely ignores the sparse structure which is usually found in  $G_u$ 's arising from nonlinear elliptic eigenvalue problems. In order to take advantage of the structure in  $G_u$ , Keller [12] suggested the following block-elimination procedure:

<u>Algorithm BE:</u> (block-elimination)

- Solve  $G_u y = G_\lambda$  (3.11)
- and  $G_{u} z = -G.$  (3.12)

Set 
$$\delta \lambda = (-N_u^T z - N) / (N_\lambda - N_u^T y)$$
 (3.13)

and 
$$\delta u = z - \delta \lambda y$$
. (3.14)

Note that only systems with the coefficient matrix  $G_u$  have to be solved, so structures in  $G_u$  can be exploited. Moreover, only one factorization of  $G_u$  is needed. It has been shown [23] that even when  $G_u$  is becoming singular, Algorithm BE produces iterates that converge quadratically at limit points.

Continuation methods of various forms and levels of sophistication have been widely used in the engineering literature (see the survey [3]). For a recent survey of numerical methods for bifurcation problems, see for example the paper by Mittelmann and Weber in [19]. The approach taken here is due to Keller [12], and has recently been applied to other problems in fluid mechanics ( [7], [13], [15], [22], [23]). A related approach suggested by Abbott [1] corresponds (in a loose way) to applying Algorithm BE to the matrix A with the last column permuted into the first n columns so that the corresponding coefficient matrix in Equations (3.11) and (3.12) becomes nonsingular even at limit points. However, as has already been pointed out, any structure or symmetry in  $G_u$  is lost in the process, and hence that approach seems unsuitable for large elliptic systems in two or three dimensions.

## 4. Numerical Implementation for the Internal Wave Problem

## 4.1 Computational Domain and Boundary Condition

The general domain of interest is the infinite strip:

 $D_{\infty} = (-H_2 \langle y \langle H_1 \rangle, -\infty \langle x \langle \infty \rangle)$ .

In the numerical implementation, the domain  $D_{\infty}$  is truncated to a rectangle of large but finite horizontal extent. If the solution obtained for such a 'box' is to represent a solitary wave, then it should not be altered if the domain is enlarged. Unless otherwise noted, this was checked numerically for all solutions calculated. The truncated domain is given by:

$$D_{L} = (-H_{2} \langle y \langle H_{1} \rangle, -L \langle x \langle L \rangle),$$

and the boundary condition is :

$$u = 0$$
 on  $\partial D_{T}$ .

If the density stratification has certain symmetry, then the domain can be further reduced. Specifically, for the 'tanh' density profile (i.e. F(y) =tanh(y)), F'(y) is symmetric about y = 0, and it can be shown [24] that antisymetric solutions satisfying  $u(x,y,\lambda) = -u(x,-y,\lambda)$  exist. Moreover, since the governing equation is invariant under a change in sign in the horizontal coordinate x, solutions symmetric about x = 0 exist. For such a wave, the domain  $D_{I}$  can be reduced to (Figure 4-1)

$$D = ( 0 < y < H , 0 < x < L ) ,$$

and the boundary conditions become

$$u = 0 \quad \text{for } y = 0 \quad \text{and } H , 0 < x < L$$
  
and for  $x = L$ ,  $0 < y < H$ ,  
 $\partial u / \partial x = 0 \quad \text{for } x = 0$ ,  $0 < y < H$ .

In this paper, the larger domain  $D_L$  was used so as to allow for asymmetric solutions which may exist, although none have been found so far.

#### 4.2 Computational Mesh

Since, in most cases, most of the interesting phenomenon occurs near the origin, it would be a waste of computational effort to use a uniform grid on the domain  $D_L$ . The approach we have taken is to transform the domain  $D_L$  into another rectangular domain  $D_T$  by the following transformation (Figure 4-1) :

$$\xi = \tanh (\alpha x)$$
  
 $\eta = \tanh (\beta y)$ .

A uniform mesh in the  $(\xi,\eta)$  plane would put more mesh points near the origin of the domain in the (x,y) plane. The relative spacing of the mesh points in the (x,y) plane can be varied by varying the parameters  $\alpha$  and  $\beta$ . Note that the inverse transformation can be obtained from the following formula :

$$tanh^{-1} x = (\log (1+x)/(1-x)) / 2$$
 if  $|x| < 1$ .

Equation (2.3) now becomes :

$$\alpha^{2}(1-\xi^{2}) \partial/\partial\xi (1-\xi^{2}) \partial/\partial\xi u$$
  
+  $\beta^{2}(1-\eta^{2}) \partial/\partial\eta (1-\eta^{2}) \partial/\partial\eta u$  (4.1)

Figure 4-1: Domain, Boundary Conditions and Mesh





+  $\lambda u \operatorname{sech}^2 (u + \tanh^{-1}(\eta) / \beta) = 0$ .

## 4.3 Discretization and Solution

To discretize Equation (4.1), we use a centered second order finite difference approximation. Let n and m denote the number of intervals in the  $\xi$  and  $\eta$  directions, respectively, and let

h = 2 tanh( $\alpha$  L) / n ,  $\xi_i = \xi_1 + (i - 1)$  h , k = 2 tanh( $\beta$  H) / m ,  $\eta_j = \eta_1 + (j - 1)$  k .

The discretized Equation (4.1) is given by :

$$(G(u,\lambda))_{i,j} = CE_{i} u_{i+1,j} + CW_{i} u_{i-1,j} + CN_{j} u_{i,j+1} + CS_{j} u_{i,j-1} + (C\xi_{i} + C\eta_{j}) u_{i,j} + (C\xi_{i} + C\eta_{j}) u_{i,j} + \lambda u_{i,j} \operatorname{sech}^{2}(u_{i,j} + \tanh^{-1}(\eta_{j}) / \beta) , \qquad (4.2)$$

for  $2 \leq i \leq n$ ,  $2 \leq j \leq m$ , where

$$CE_{i} = (1-\xi_{i}^{2}) (1-\xi_{i+1/2}^{2}) \alpha^{2} / h^{2}$$

$$CW_{i} = (1-\xi_{i}^{2}) (1-\xi_{i-1/2}^{2}) \alpha^{2} / h^{2}$$

$$CN_{j} = (1-\eta_{j}^{2}) (1-\eta_{j+1/2}^{2}) \beta^{2} / k^{2}$$

$$CW_{j} = (1-\xi_{j}^{2}) (1-\xi_{j-1/2}^{2}) \beta^{2} / k^{2}$$

$$C\xi_{i} = - (1-\xi_{i+1/2}^{2} + 1-\xi_{i-1/2}^{2}) (1-\xi_{i}^{2}) \alpha^{2} / h^{2}$$

$$C\eta_{j} = - (1-\eta_{j+1/2}^{2} + 1-\eta_{j-1/2}^{2}) (1-\eta_{j}^{2}) \beta^{2} / k^{2}$$

The derivatives  $G_u$  and  $G_\lambda$  needed in the continuation procedures can then be easily computed from Equation (4.2). The discrete operator  $G_n$  is a block-tridiagonal matrix of size (n-1)\*(m-1) and has the familiar nonzero structure generated by five-point operators. Since this matrix is nonseparable, we cannot use fast Poisson solvers. Moreover, the matrix is indefinite and hence iterative methods like Successive-Over-Relaxation cannot be used directly. We have chosen to use a band solver called LEQT1B from IMSL [11]. This choice was satisfory for our problem in terms of speed and storage. Typically, L = 20 was found to be large enough to contain the solitary waves. Various values of the half-depth H were used, ranging from H = 4 to H = 40. Results from the H = 40 case was meant to be compared to experimental results obtained by Davis and Acrivos [9]. Typically, n = 20 and m = 32 was used. These were found to provide adequate resolution. A11 computations were performed on a CDC Cyber 176 computer, and it takes slightly less than one CPU second to obtain a solution u for a given value of  $\lambda$ .

## 5. Numerical Results

In this section, we present a sample of the numerical results that we have obtained for the internal wave problem with the 'tanh' density profile, i.e.  $F'(y) = \operatorname{sech}^2(y)$ . All waves presented here are 'mode-2' waves (anti-symmetric about y = 0) and are symmetric about x = 0. Other waves corresponding to different density profiles and different modes have been computed, but won't be presented here because they don't correspond to the experimental results of Davis and Acrivos [9] and probably are not as easily realizable as the mode-2 waves. More results and discussions on the fluid dynamical significance of these computed waves can be found in [24].

The dependence of u on  $\lambda$  as computed is shown in Figure 5-1 for H = 4, 10 and 40. Each value along these curves is a nonlinear solution corresponding to Equation (4.2), and many such solutions (15 to 20) are used to construct each curve.

For the shallow water case of H = 4, we have found nonunique solutions and a limit point at  $\lambda = 0.979114$  with  $(-u)_{max} = 2.647$ . Some contour plots of the total stream function u+y for various values of  $\lambda$  are presented in Figures 5-2, 5-3 and 5-4. Contour plots for the case H = 40 are presented in Figures 5-5, 5-6 and 5-7.

Horizontal cross-sections cut along a line passing through the y-location.

of the wave maximum are displayed in Figure 5-8. The vertical cross-sections through the same maximum are displayed in Figure 5-9. The uppermost line in Figure 5-8 is a portion of the extremely large amplitude, bore-like wave found at  $\lambda = 1.1615$ , past the limit point. This wave probably does not correspond to a physical solitary wave because the artificial boundary seems to have a significant influence on it. However, it does show that the mathematical problem given by Equation (4.2) has nonunique solutions. We should note here that we have not addressed the question of stability of these steady state solutions.

In Figure 5-10, the experimental results of Davis and Acrivos [9] are compared with the computed results. The density profile in their experiment can be well approximated by F(y) = tanh(y) and the half-depth is equivalent to our case of H = 40. The relative density change across the stratified layer in all the experiments are relatively small (i.e.  $\sigma$  is small in Equation (2.1)), and hence the Boussinesq approximation made to arrive at Equation (2.3) is justified. Also displayed in Figure 5-10 is the analytical result from the weakly nonlinear theory. As can be seen from the figure, excellent agreement is obtained with the fully nonlinear results of our study, even in the large amplitude and nonlinear regime, where the weakly nonlinear theory starts to deviate from observed data.





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H



Figure 5-3: Contours of u for H =

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Figure 5-4: Contours of u for H



Figure 5-5: Contours of u for H = 40



Figure 5-6: Contours of u for H = 40



Figure 5-7: Contours of u for H =

40



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6. Conclusion

In this paper, we described efficient continuation techniques for solving general nonlinear eigenvalue problems. We applied these techniques to the solution of Long's Equation which governs the propagation of internal solitary waves in a stratified medium. Nonunique solutions have been found by the continuation procedures. Computed results show excellent agreement with the experimental data obtained by Davis and Acrivos [9], even in the nonlinear regime where weakly nonlinear theories fail to give good predictions.

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